

FACILITIES MASTER PLAN / CAPITAL PROJECT REQUEST
CAPITAL REQUIREMENTS SUMMARY AND NEEDS ASSESSMENT FORM
(Form 1A)

Institution: University of North Alabama
Name of Respondent: W. Steve Smith

Telephone Number: 256-765-4233
E-Mail Address: wssmith@una.edu

A. IMMEDIATE CAPITAL REQUIREMENTS - YEAR 1 (FY 2013-2014)

Institutional Priority	Funding Sources			Estimated Total Cost	Specify Source(s) Other State	Specify Source(s) Other Funds	Building Number (If existing Facility or Facilities)	Space Utilization Description (If more than 1 Code used, include % for each Code. Click here to view Codes)	Projected Gross Square Feet. (Should be used only for Subsection 1 or 2 Projects)	Projected Net Assignable Square Feet. (Should be used only for Subsection 1 or 2 Projects)	Space Category (If more than 1 Code used, include % for each Code. Click here to view Codes)	Change in Purpose (Yes or No Depending on Project)	Projected Start/ Acquisition Date (Date reported cannot be before the start of the fiscal year to be reported on the form. Fiscal Years run from Oct. 1 - Sept. 30)	Projected Completion Date	Basis of Requirement (Use no more than 2 Codes. Click here to view Codes) USE LETTER OF CODE ONLY	
	Education Trust Fund	Other State Funding	Other Funds													
1. New Construction/Acquisition Projects																
1. Science and Engineering Technology	1	\$35,000,000	\$6,000,000	\$5,000,000	\$46,000,000	Amendment 666 Bond Issue	Grant/Donations	N/A	1-50%,2T-35%,2R- 15%	163,824	100,000	E&G	NO	2013-2014	2014-2015	B,E
2.																
3.																
4.																
Subtotal		\$35,000,000	\$6,000,000	\$5,000,000	\$46,000,000											
2. Renovation/Remodeling Projects																
1. Wesleyan Hall & Wesleyan Annex	2	\$114,000	\$250,000	\$200,000	\$564,000	PSCA	DOE	28,29	1	N/A	N/A	E&G	NO	2013-2014	2014-2015	E,G
2. Disability/Accessibility	7	\$5,000,000			\$5,000,000			N/A	17	N/A	N/A	Other	No	2013-2014	2014-2015	J,L
3. Culinary Center - Phase II	6	\$2,000,000			\$2,000,000			400	1-60%,2T-40%	8,000	5,600	E&G	NO	2013-2014	2014-2015	B,C
4.																
Subtotal		\$7,114,000	\$250,000	\$200,000	\$7,564,000											
3. Major Capital Equipment Projects																
1. HVAC Replacement	4	\$2,000,000			\$2,000,000			37,53,56	17	N/A	N/A	E&G	NO	2013-2014	2014-2015	E,G
2.																
3.																
4.																
Subtotal		\$2,000,000			\$2,000,000											
4. Deferred Maintenance/Facilities Renewal (See Instructions)																
1. Deferred Maintenance	5	\$4,000,000			\$4,000,000			5,53,37,56	17	N/A	N/A	E&G	NO	2013-2014	2014-2015	E,G
2. Collier Library Roof Replacement	3	\$500,000			\$500,000			9	17	N/A	N/A	E&G	NO	2013-2014	2014-2015	E
3.																
4.																
Subtotal		\$4,500,000			\$4,500,000											
Total Immediate Year 1 Capital Requirements		\$48,614,000	\$6,250,000	\$5,200,000	\$60,064,000											

A brief description and justification must be attached for each project listed above.

Provide a succinct but thorough justification of the need for the capital project. This information may be included in a separate Word Processing document. See instructions for further information.

FACILITIES MASTER PLAN / CAPITAL PROJECT REQUEST
CAPITAL REQUIREMENTS SUMMARY AND NEEDS ASSESSMENT FORM
(Form 1B)

Institution: University of North Alabama
Name of Respondent: W.Steve Smith

Telephone Number: 256-765-4233
E-Mail Address: wsmith@una.edu

B. INTERMEDIATE CAPITAL REQUIREMENTS - YEAR 2 (FY 2014-2015)

	Institutional Priority	Funding Sources			Estimated Total Cost	Specify Source(s) Other State	Specify Source(s) Other Funds	Building Number (If existing Facility or Facilities)	Space Utilization Description (If more than 1 Code used include % for each Code. Click here to view Codes)	Projected Gross Square Feet. (Should be used only for Subsection 1 or 2 Projects)	Projected Net Assignable Square Feet. (Should be used only for Subsection 1 or 2 Projects)	Space Category (If more than 1 Code used include % for each Code. Click here to view Codes)	Change in Purpose (Yes or No Depending on Project)	Projected Start/ Acquisition Date (Date reported cannot be before the start of the fiscal year to be reported on the form. Fiscal Years run from Oct. 1 -Sept. 30)	Projected Completion Date	Basis of Requirement (Use no more than 2 Codes. Click here to view Codes) USE LETTER OF CODE ONLY
		Education Trust Fund	Other State Funding	Other Funds												
1. New Construction/Acquisition Projects																
1. Classroom Building	3	\$10,000,000			\$10,000,000			N/A	1	30,000	21,000	E&G	No	2014-2015	2015-2016	B
2. Student Housing	7	\$10,000,000			\$10,000,000			N/A	14	100,000	80,000	E&G	No	2014-2015	2015-2016	D,E
3.																
4.																
Subtotal		\$20,000,000			\$20,000,000											
2. Renovation/Remodeling Projects																
1. Non-Facilities Construction Master Plan	8	\$7,000,000			\$7,000,000			N/A	17 (Infrastructure & Accessibility)	N/A	N/A	E&G	No	2014-2015	2015-2016	F
2. Floyd Hall Renovations	2	\$2,200,000			\$2,200,000			39	1 - 50%, 2T - 35%, 2R	64,751	58,178	E&G	No	2014-2015	2015-2016	E
3. Wesleyan Hall Renovations	1	\$2,200,000			\$2,200,000			28	1	25,483	14,587	E&G	No	2014-2015	2015-2016	E,G
4.																
Subtotal		\$11,400,000			\$11,400,000											
3. Major Capital Equipment Projects																
1. HVAC Replacement Guilott University Ctr.	5	\$1,000,000			\$1,000,000			37	8	N/A	N/A	E&G	No	2014-2015	2015-2016	G
2. Chiller Replacement - Communications Bldg	6	\$500,000			\$500,000			5	1	N/A	N/A	E&G	No	2014-2015	2015-2016	G
3.																
4.																
Subtotal		\$1,500,000			\$1,500,000											
4. Deferred Maintenance/Facilities Renewal (See Instructions)																
1. Deferred Maintenance	4	\$12,000,000			\$12,000,000			009 007 039 039 030 001 005 044 037 045 046 053	17	N/A	N/A	N/A	No	2014-2015	2015-2016	E,J
2.																
3.																
4.																
Subtotal		\$12,000,000			\$12,000,000											
Total Intermediate Year 2 Capital Requirements		\$44,900,000			\$44,900,000											

A brief description and justification must be attached for each project listed above.

Provide a succinct but thorough justification of the need for the capital project. This information may be included in a separate Word Processing document. See instructions for further information.

FACILITIES MASTER PLAN / CAPITAL PROJECT REQUEST
 CAPITAL REQUIREMENTS SUMMARY
 (Form 1C)

Institution: University of North Alabama

Name of Respondent: W. Steve Smith

Telephone Number: 256-765-4233 E-Mail Address: wssmith@una.edu

C. LONG TERM CAPITAL REQUIREMENTS - YEARS 3-5
 (FY 2015-2016 through FY 2017-2018)

	Estimated Total Cost
1. New Construction/Acquisition Projects	
1. Nursing Facility	\$15,000,000
2. Arts and Humanities Building	<u>\$10,000,000</u>
3. Additional Student Housing - Phase II	<u>\$60,000,000</u>
4.	
Subtotal	<u>\$85,000,000</u>
2. Renovation/Remodeling Projects	
1.	_____
2.	_____
3.	_____
4.	_____
Subtotal	_____
3. Major Capital Equipment Projects	
1.	_____
2.	_____
3.	_____
4.	_____
Subtotal	_____
4. Deferred Maintenance/Facilities Renewal (See Instructions)	
1.	_____
2.	_____
3.	_____
4.	_____
Subtotal	_____
 Total Long Term Capital Requirements	 <u>\$85,000,000</u>
 Funding Source for All Long Term Projects:	
Education Trust Fund	\$ <u>\$85,000,000</u>
Other State Funding	\$ _____
Other Funds	\$ _____

D. TOTAL ALL CAPITAL PROJECTS \$ \$189,964,000
 (The total of Form1A, 1B and 1C
 should be reported in Part D)

STATEMENT OF BONDED INDEBTEDNESS AS OF SEPTEMBER 30, 2012

Institution: University of North Alabama

Component (E&G, Auxiliary, Hospital, Health, Other) _____

Name of Respondent: Julie Graham

Telephone Number: 256-765-4183

E-Mail Address: bdgraham@una.edu

NAME OF BOND ISSUE	PROJECT USE (Briefly describe project)	DATE OF ORIGINAL ISSUANCE	ORIGINAL VALUE	AMOUNT OUTSTANDING As of 9/30/2012	AMOUNT OF DEBT SERVICE As of 9/30/2012			SOURCE OF DEBT SERVICE PAYMENT	DATE OF MATURITY
					PRINCIPAL	INTEREST	TOTAL		
Science Bldg - 2010	Science Bldg Construction & Refinance 1999 Bond	11/30/2010	\$35,765,000	\$35,165,000	\$610,000	\$1,345,441	\$1,955,441	General Fees	2041
2012A Bond Issue	Refinance 2003 Bond & partially fund construction of Academic Ctr and Student Commons Bldg	8/23/2012	\$11,530,000	\$11,530,000	\$425,000	\$308,260	\$733,260	General Fees	2035
Total			\$47,295,000	\$46,695,000	\$1,035,000	\$1,653,701	\$2,688,701		

Please be as specific as possible regarding the sources of debt service payments.

FACILITIES MASTER PLAN/CAPITAL PROJECTS REQUEST
DESCRIPTIONS
(From Form 1A)

A. Immediate Capital Requirements-Year 1 (2013-2014)

1. New Construction/Acquisition Projects

1. Science & Engineering Tech. Building – The University of North Alabama is regionally noted for providing an excellent academic foundation in the mathematical, biological, and chemical sciences. Current research and instructional infrastructure, however, limit UNA’s ability to engage in basic and applied research or provide advanced instructional opportunities in biotechnology, chemical engineering and the geo-spatial sciences. The University of North Alabama’s Floyd Science Building now over 45 years old, is well beyond its useful life and no longer provides the essential support necessary to educate the next generation of American leaders. The building’s infrastructure will no longer support the sophisticated laboratory, scientific instrumentation, and instructional requirements of a modern university.

2. Renovation/Remodeling Projects

1. Wesleyan Hall (1843) and Wesleyan Annex (1929) Renovations – Both facilities house academic offices and classrooms for the Colleges of Arts & Sciences, including Geography and ROTC. These facilities currently require major renovations to prevent further decay due to water penetration through exterior brick and windows. Renovations include moisture protection of the exterior brick on each facility, interior plaster repair, carpet replacement, and ceiling tile replacement and replacing the windows in the Wesleyan Annex.
2. Disability Accessibility - There are three facilities with no mobility-impaired accessibility to upper floors, or elevators. These floors are inaccessible or inadequate for the mobility impaired. These include Roger Halls, Flowers Hall, and Bibb-Graves Hall. Bibb-Graves Hall is a four-level administrative and classroom facility. Classroom utilization must be restricted if more than one mobility-impaired person has classes meeting at the same hour. Services provided on the upper floors are brought to the first floor as required. Rogers Hall, which is a three story antebellum home which is currently occupied by Alumni Relations, University Advancement, and Publications, does not have mobility-impaired access. Its utilization is severely restricted. Services currently provided to the mobility impaired are restricted to the first floor level. Flowers Hall has a freight elevator, but access is not provided to all areas.

3. Culinary Center – Phase II - The University of North Alabama received permission by the Alabama Commission on Higher Education (ACHE) to establish a Culinary Center Program at its East Campus. The State of Alabama currently has twenty-seven culinary programs operating in public high schools. UNA’s culinary program will offer interested students an in-state four year program in a public institution. It will be composed of two classrooms, a classroom lab, a food demonstration lab and a restaurant style lab.

3. Major Capital Equipment Projects
 1. HVAC Replacement – There are a number of HVAC systems in buildings across the University campus that are beyond their lifecycle and need to be replaced. It is expected that, at a bare minimum, these replacements will cost at least \$2 million. In addition, there are other replacements that are internal to buildings that will be handled on a building-by-building basis such as modifications that were made in FY 2008 to Willingham Hall through deferred maintenance.

 4. Deferred Maintenance/Facilities Renewal
 1. Deferred Maintenance – Buildings are obsolete and in urgent need of repair and renovation. These repairs include everything from roofs, windows, heating and cooling systems to major repairs on foundations and walls.

 2. Collier Library Roof Replacement – The roof system on the Collier Library is approximately 28 years old and is at the end of its useful life. Renovations will include removing the existing roof system and installation of a new modified bitumen roof system.

FACILITIES MASTER PLAN/CAPITAL PROJECTS REQUEST
DESCRIPTIONS
(From Form 1B)

B. Intermediate Capital Requirements-Year 2 (2014-2015)

1. New Construction/Acquisition Projects –

1. Classroom Building – Additional classroom space is needed to accommodate the increased enrollment in the College of Business over the last few years. Comparing the graduate and undergraduate FTEs for spring 2005 to spring 2009, the enrollment has increased by 593 students.
2. Student Housing – As of 2010 it was determined that the current facilities would be inadequate to provide housing for students at the University of North Alabama. As the student population at the University of North Alabama continues to increase, there is a growing demand for University-supplied housing. Currently, the housing occupancy rates exceed 90%, and it is likely that this occupancy rate will be pushed to capacity within a short period of time. Additionally the traditional dormitories were constructed in 1963. These facilities were designed with gang type showers and restroom facilities. These types of community facilities no longer meet the needs of today's students. This additional student housing will support recruiting efforts and offer an improved living and learning environment.

2. Renovation/Remodeling Projects –

1. Non-Facility Construction – Master Facilities Plan – These projects include improving parking, providing adequate walkways, improving outdoor lighting, and moving electrical and other utility lines to safer locations. These projects are included on pages 50-52 of the 1993 Facilities Master Plan.
2. Floyd Hall Renovation – This facility was built in 1960 and underwent a 35% renovation in 2002. The facility is in desperate need of repair including energy conservation measures that must be taken i.e., roof replacement, HVAC replacement, plumbing system upgrade, technology upgrade, electrical system upgrade, and windows and walls replacement.
3. Wesleyan Hall Renovation – This facility was constructed in 1855 and underwent a 20% renovation in 1997. The facility is in desperate need of repair including energy conservation measures that must be taken i.e., roof replacement, HVAC replacement, plumbing, electrical and technology upgrades and windows and walls replacement.

3. Major Capital Equipment Projects –

1. HVAC Replacement – Guillot University Ctr. – The HVAC Systems located in this facility are at the end of their useful life and in need of being replaced to increase energy efficiency as well as reduce maintenance cost associated with outdated equipment. Additionally the existing equipment operates utilizing environmentally unfriendly refrigerants that are being phased out.
2. Chiller Replacement Communications Building – The Chilled Water HVAC System located in this facility is at the end of its useful life and in need of being replaced to increase energy efficiency as well as reduce maintenance cost associated with outdated equipment. Additionally the existing equipment operates utilizing environmentally unfriendly refrigerants that are being phased out The current systems are obsolete and cannot provide the temperature control needed to maintain a year-round comfortable environment conducive to learning.

4. Deferred Maintenance –

1. The average age of the Academic facility located on the UNA campus is over 50 years. Due to lack of funding over the many years of occupancy, many of the facilities have a backlog of deferred maintenance. In 2008 the University identified over sixteen million dollars of deferred maintenance needs for these facilities. Of this original list approximately four million dollars has been spent toward reducing the overall deferred maintenance. The majority of the funding for this was through federal grant programs. Current needs include window repair and replacement, HVAC replacement, roof replacement, water tight sealing of the exterior surfaces, plumbing and piping replacement and electrical service upgrades.

FACILITIES MASTER PLAN/CAPITAL PROJECTS REQUEST
DESCRIPTIONS
(From Form 1C)

4. Long Term Capital Requirements – Years 3-5 (2015-2016 through 2017-2018)
 1. New Nursing Facility – Over the last few years, the College of Nursing has grown to include a Master’s in Nursing, a Distance Learning program and an expansion of the traditional nursing program. There is a waiting list to enroll in these programs due to the limited space and resources.
 2. New Arts and Humanities Building – Academic facilities for arts and humanities programs at the University of North Alabama are antiquated and insufficient in size to accommodate the current and expanding number of students enrolled at the University. Clearly, a new Arts and Humanities building is desired to address this need and to provide the type of environment necessary to offer a high quality contemporary educational experience.
 3. Additional Student Housing – Phase II – As enrollment on campus continues to increase, there will be a pressing need for additional student housing. This additional student housing will support recruiting efforts and offer an improved living and learning environment.