

FACILITIES MASTER PLAN / CAPITAL PROJECT REQUEST
CAPITAL REQUIREMENTS SUMMARY AND NEEDS ASSESSMENT FORM
(Form A)

Institution: Auburn University
Name of Respondent: Jeffrey Dumars

Telephone Number: 334 844 1132
E-Mail Address: ikd0006@auburn.edu

A. IMMEDIATE CAPITAL REQUIREMENTS - YEAR 1 (FY 2015-2016)

Institutional Priority	Funding Sources			Estimated Total Cost	Specify Source(s) Other State	Specify Source(s) Other Funds	Building Number (If existing Facility or Facilities)	Space Utilization Description (If more than 1 Code used include % for each Code. Click here to view Codes)	Projected Gross Square Feet. (Should be used only for Subsection 1 or 2 Projects)	Projected Net Assignable Square Feet. (Should be used only for Subsection 1 or 2 Projects)	Space Category (If more than 1 Code used include % for each Code. Click here to view Codes)	Change in Purpose (Yes or No Depending on Project)	Projected Start/ Acquisition Date (Date reported cannot be before the start of the fiscal year to be reported on the form. Fiscal Years run from Oct. 1 - Sept. 30)	Projected Completion Date	Basis of Requirement (Use no more than 2 Codes. Click here to view Codes) USE LETTER OF CODE ONLY	
	Education Trust Fund	Other State Funding	Other Funds													
1. New Construction/Acquisition Projects																
1.			\$25,000,000	\$25,000,000		University Funds; General Fee Bond	NA	1. Classroom /Admin	70,000	36,000	Education & General (E&G)	No	10/1/2015	9/30/2017	E	
2.			\$40,000,000	\$40,000,000		University Funds; General Fee Bond	NA	1. Classroom /Admin	120,000	72,000	Education & General (E&G)	No	10/1/2015	9/30/2017	E	
3.			\$35,000,000	\$35,000,000		University Funds; General Fee Bond	NA	1. Classroom /Admin	128,000	75,000	Education & General (E&G)	No	10/1/2015	9/30/2017	E	
4.			\$38,000,000	\$38,000,000		University Funds; General Fee Bond	NA	1. Classroom /Admin	92,000	55,000	Education & General (E&G)	No	10/1/2015	9/30/2017	E	
5.			\$20,000,000	\$20,000,000		University Funds; General Fee Bond	NA	1. Classroom /Admin	64,000	37,000	Education & General (E&G)	No	10/1/2015	9/30/2017	C	
6.			\$15,000,000	\$15,000,000		University Funds; General Fee Bond	NA	2R. Research Laboratory	43,000	25,800	Education & General (E&G)	No	10/1/2015	9/30/2017	B	
			\$173,000,000	\$173,000,000												
2. Renovation/Remodeling Projects																
1.			\$56,000,000	\$56,000,000		University Funds; General Fee Bond	AA_V0804, AA_V0803, AA_V0903, AA_V0804, AA_V0804, AA_V0901, AA_V0903, AA_V0803, AA_V0901, AA_V0905, AA_V0904, AA_V0805	13. Residence	445,000	258,000	Auxiliary	No	10/1/2015	9/30/2017	E	
2.			\$2,000,000	\$2,000,000		University Funds; General Fee Bond	AA_X0304	1. Classroom /Admin	63,972	40,856	Education & General (E&G)	No	10/1/2015	9/30/2017	E	
3.			\$10,000,000	\$10,000,000		University Funds; General Fee Bond	AA_W0201	1. Classroom /Admin	51,446	34,280	Education & General (E&G)	No	10/1/2015	9/30/2017	E	
4.			\$2,100,000	\$2,100,000		University Funds; General Fee Bond	AAW0403	1. Classroom /Admin	8,265	5,245	Education & General (E&G)	No	10/1/2015	9/30/2017	E	
			\$70,100,000	\$70,100,000												
3. Major Capital Equipment Projects																
1.																
2.																
3.																
4.																
4. Deferred Maintenance/Facilities Renewal (See Instructions)																
1.			\$2,000,000	\$2,000,000		University Funds; General Fee Bond	Multiple	1. Class/Admin 60%; 17. Utilities 40%	N/A	N/A	Educational & Gen (E&G) 60%; Utilities 40%	No	10/1/2015	9/30/2017	E	
2.			\$3,500,000	\$3,500,000		University Funds; General Fee Bond	Multiple	1. Class/Admin 70%; 2T Teachg Labs 20%; 2R Res Labs 10%	N/A	N/A	Educational & General (E&G) 100%	No	10/1/2015	9/30/2017	E	
3.																
4.																
			\$5,500,000	\$5,500,000												
Total Immediate Year 1 Capital Requirements			\$248,600,000	\$248,600,000												

A brief description and justification must be attached for each project listed above. If the descriptions/justifications are listed below adjust the print range so they print out properly. Provide a succinct but thorough justification of the need for the capital project. This information may be included in a separate Word Processing document. See instructions for further information.

FACILITIES MASTER PLAN / CAPITAL PROJECT REQUEST
CAPITAL REQUIREMENTS SUMMARY AND NEEDS ASSESSMENT FORM
(Form B)

Institution: Auburn University
Name of Respondent: Jeffrey Dumars

Telephone Number: 334 844 1132
E-Mail Address: jk0006@auburn.edu

B. INTERMEDIATE CAPITAL REQUIREMENTS - YEAR 2 (FY 2016-2017)

	Institutional Priority	Funding Sources			Estimated Total Cost	Specify Source(s) Other State	Specify Source(s) Other Funds	Building Number (If existing Facility or Facilities)	Space Utilization Description (If more than 1 Code used include % for each Code. Click here to view Codes)	Projected Gross Square Feet. (Should be used only for Subsection 1 or 2 Projects)	Projected Net Assignable Square Feet. (Should be used only for Subsection 1 or 2 Projects)	Space Category (If more than 1 Code used include % for each Code. Click here to view Codes)	Change in Purpose (Yes or No Depending on Project)	Projected Start/ Acquisition Date (Date reported cannot be before the start of the fiscal year to be reported on the form. Fiscal Years run from Oct. 1 - Sept. 30)	Projected Completion Date	Basis of Requirement (Use no more than 2 Codes. Click here to view Codes) USE LETTER OF CODE ONLY
		Education Trust Fund	Other State Funding	Other Funds												
1. New Construction/Acquisition Projects																
1. Aviation Education Facility	1			\$5,000,000	\$5,000,000			University Funds; General Fee Bond	1. Classroom /Admin	23,000	16,100	Education & General (E&G)	No	10/1/2016	9/30/2018	C
2. Pharmaceutical Research Facility	2			\$15,000,000	\$15,000,000			University Funds; General Fee Bond	1. Classroom /Admin	101,000	60,000	Education & General (E&G)	No	10/1/2016	9/30/2018	C
3. Harbert College of Business-Graduate Business Building	3			\$25,000,000	\$25,000,000			University Funds; General Fee Bond	1. Classroom /Admin	75,000	45,000	Education & General (E&G)	No	10/1/2016	9/30/2018	B
4. Engineering Achievement Facility	4			\$25,000,000	\$25,000,000			University Funds; General Fee Bond	1. Classroom /Admin	75,000	45,000	Education & General (E&G)	No	10/1/2016	9/30/2018	B
5. Terrel Dining Hall Replacement	5			\$8,000,000	\$8,000,000			University Funds; General Fee Bond	17. Other (Dining Hall)	33,000	25,000	Auxiliary	No	10/1/2016	9/30/2018	E
6. Culinary Institute	6			\$40,000,000	\$40,000,000			University Funds; General Fee Bond	1. Classroom /Admin	85,000	53,000	Education & General (E&G)	No	10/1/2016	9/30/2018	B
Subtotal				\$118,000,000	\$118,000,000											
2. Renovation/Remodeling Projects																
1.																
2.																
3.																
4.																
Subtotal																
3. Major Capital Equipment Projects																
1.																
2.																
3.																
4.																
Subtotal																
4. Deferred Maintenance/Facilities Renewal (See Instructions)																
1. DM 1. Utilities & Infrastructure	7			\$1,100,000	\$1,100,000			University Funds	Multiple	N/A	N/A	Educational & Gen (E&G) 60%; Utilities 40%	No	10/1/2016	9/30/2017	E
2. DM 2. Buildings & Building Systems	8			\$3,400,000	\$3,400,000			University Funds	Multiple	N/A	N/A	Educational & General (E&G) 100%	No	10/1/2016	9/30/2017	E
3.																
4.																
Subtotal				\$4,500,000	\$4,500,000											
Total Intermediate Year 2 Capital Requirements				\$122,500,000	\$122,500,000											

A brief description and justification must be attached for each project listed above. If the descriptions/justifications are listed below adjust the print range so they print out properly
Provide a succinct but thorough justification of the need for the capital project. This information may be included in a separate Word Processing document. See instructions for further information.

FACILITIES MASTER PLAN / CAPITAL PROJECT REQUEST
 CAPITAL REQUIREMENTS SUMMARY
 (Form C)

Institution: Auburn University
 Name of Respondent: Jeffrey Dumars
 Telephone Number: 334 844 1132 E-Mail Address: jkd0006@auburn .edu

C. LONG TERM CAPITAL REQUIREMENTS - YEARS 3-5
 (FY 2017-2018 through FY 2019-2020)

	Estimated Total Cost
1. New Construction/Acquisition Projects	
1. NE Quad Parking Structure	\$7,000,000
2. Band Rehearsal Facility	\$9,000,000
3. New College of Agriculture Building	\$41,400,000
4. New College of Human Science Facility	\$15,500,000
Construction New Residence Halls to	
5. Accommodate All 1st Year Students	\$70,000,000
6. Replace Parking Structure at Library	\$15,000,000
7. New Pharmacy or CLA Building	\$40,000,000
8. Performing Arts Center	\$50,000,000
Subtotal	\$247,900,000
2. Renovation/Remodeling Projects	
1. Renovate Comer Hall	\$11,900,000
2. Renovate Dudley Hall & Dudley Commons	\$16,000,000
3. Renovate Green Hall	\$23,600,000
4. Renovate Mary Martin Hall	\$10,700,000
5. Renovate Haley Center	\$40,000,000
Subtotal	\$102,200,000
3. Major Capital Equipment Projects	
1.	_____
2.	_____
3.	_____
4.	_____
Subtotal	_____
4. Deferred Maintenance/Facilities Renewal (See Instructions)	
1. Duncan Hall Renewal	\$8,300,000
2. Langdon Hall/Langdon Annex Renewal	\$44,700,000
3.	_____
4.	_____
Subtotal	\$53,000,000
Total Long Term Capital Requirements	\$403,100,000
Funding Source for All Long Term Projects:	
Education Trust Fund	\$ _____
Other State Funding	\$ _____
Other Funds	\$ 403,100,000
Total Long Term Funding	\$403,100,000

D. TOTAL ALL CAPITAL PROJECTS \$ \$774,200,000
 (The total of Form A, B and C
 should be reported here)

Brief Description and Justification of Projects

Institution: Auburn University

Telephone Number: 334-844-1132

Name of Respondent: Jeffrey Dumars

E-Mail Address: jkd0006@auburn.edu

Project	Description
FORM 1A Immediate Capital Requirements	
Mell Classroom Building	The Mell and Roosevelt Classroom Buildings is intended as replacement space for substandard and obsolete instructional facilities presently located in the core of the campus. The building(s) will consist of state-of-the-art, general-purpose instructional space. Specific program requirements have not been defined.
Roosevelt Classroom Building	The Mell and Roosevelt Classroom Buildings is intended as replacement space for substandard and obsolete instructional facilities presently located in the core of the campus. The building(s) will consist of state-of-the-art, general-purpose instructional space. Specific program requirements have not been defined.
Sciences and Mathematics Success Building	The Sciences and Mathematics Success Building is intended as add new STEM lab space required to address significant space deficits. The building(s) will consist of state-of-the-art, general-purpose instructional lab space. Specific program requirements have not been defined.
New School of Nursing Bldg.	The School of Nursing Building is intended as project to relocate a non-core function from the campus core to a site at the campus periphery. The vacated building will be backfilled as replacement space for substandard and obsolete instructional facilities presently located in the core of the campus. The building will consist of state-of-the-art, general-purpose and special instructional and administrative space. Specific program requirements have not been defined.
New College of Education Bldg.	The College of Education Building is intended as replacement space for substandard and obsolete instructional and administrative facilities presently located in the core of the campus. The building will consist of state-of-the-art instructional and administrative space. Specific program requirements have not been defined.
School of Pharmacy - Research Bldg	The School of Pharmacy - Research Bldg. is intended as add new research lab space required to address space deficits within the School of Pharmacy. The building(s) will consist of state-of-the-art, research lab space. Specific program requirements have not been defined.
FORM 1A Renovation/Remodeling Projects	
Samford Hall Roof Renovation and Structural Repairs	The renovation of Samford Hall includes the historical restoration of the building roof and exterior masonry.
Renovation Textile Building	The renovation of the Textile Building involves the existing programs from the L-Building and Engineering Shop Buildings which are to be demolished.
Hill Dorm Renovations	The renovation of Hill Residence Halls consists of several phases that will eventually result in the total transformation of the "Hill Dorm" complex on the main campus into a living-learning environment for approximately 3,000 students. Specific program requirements have not been defined.
Cater Hall Repair & Renovation	The renovation of Cater Hall includes the historical restoration of the building.
FORM 1A Major Capital Equipment Projects (None)	
FORM 1A Deferred Maintenance/Facilities Renewal	
DM1 Utilities & Infrastructure	Infrastructure represents a consolidation of projects that relate to storm water systems, sanitary sewer systems, chilled water/hot water distribution, primary power distribution, fire water distribution, steam distribution and gas distribution from building to building campus wide. Also included in infrastructure is a consolidation of projects that relate to roadway and parking lot paving, striping, signalization, signage and lighting campus wide. It also represents a collection of facility renewal projects that convert portions of campus streets to pedestrian concourses. Benefits of these projects include reduced conflict between pedestrians and vehicles, improved air quality and reduced noise within the campus core.
DM2 Buildings & Building Systems	Building & Building Systems category represents a consolidation of projects that relate to HVAC, plumbing, structural, electrical systems and elevators within buildings dispersed across the campus. It also represents a consolidation of building envelope projects that relate to masonry repair, roof repair/replacement, window repair/replacement, door repair/replacement sealants, flashings, moisture barriers generally associated with the exterior walls and roof of buildings. It also represents a consolidation of classroom and laboratory renewal projects that relate to repair/replacement of finishes, casework, hardware and security devices generally associated with instructional space. It also represents a consolidation of projects that relate to repair/replacement of fixed equipment, furniture, chalk and marker boards generally associated with instructional space. Life Safety & Security is represented through a consolidation of projects that relate to fire alarms, fire suppression, emergency lighting, indoor air quality and code compliance within buildings dispersed across the campus.

FORM 1B	
Intermediate Capital Requirements	
Aviation Education Facility	The Aviation Education Facility is intended as replacement space for substandard and obsolete instructional and administrative facilities presently located at the airport. The building will consist of state-of-the-art instructional and administrative space.
Pharmaceutical Research Facility	The Pharmaceutical Research Facility is intended to provide new state-of-the-art research space needed by the Harrison School of Pharmacy.
Graduate Business Building	The Graduate Business Building is intended to provide new state-of-the-art instructional and administrative space needed by the Harbert College of Business.
Engineering Achievement Facility	The Engineering Achievement Facility is intended to provide new state-of-the-art instructional and administrative space needed by the Ginn College of Engineering.
Terrell Dining Hall Replacement	A replacement of Terrell Dining Hall is intended as replacement space for substandard and obsolete dining facilities presently located in the core of the campus.
Culinary Institute	The Culinary Institute Facility is intended to provide new state-of-the-art instructional and administrative space needed by the College of Human Sciences.
FORM 1B	
Renovation/Remodeling Projects	
FORM 1B	
Major Capital Equipment Projects (None)	
FORM 1B	
Deferred Maintenance/Facilities Renewal	
DM1 Infrastructure	Infrastructure represents a consolidation of projects that relate to storm water systems, sanitary sewer systems, chilled water/hot water distribution, primary power distribution, fire water distribution, steam distribution and gas distribution from building to building campus wide. Also included in infrastructure is a consolidation of projects that relate to roadway and parking lot paving, striping, signalization, signage and lighting campus wide. It also represents a collection of facility renewal projects that convert portions of campus streets to pedestrian concourses. Benefits of these projects include reduced conflict between pedestrians and vehicles, improved air quality and reduced noise within the campus core.
DM2 Buildings & Building Systems	Building & Building Systems category represents a consolidation of projects that relate to HVAC, plumbing, structural, electrical systems and elevators within buildings dispersed across the campus. It also represents a consolidation of building envelope projects that relate to masonry repair, roof repair/replacement, window repair/replacement, door repair/replacement sealants, flashings, moisture barriers generally associated with the exterior walls and roof of buildings. It also represents a consolidation of classroom and laboratory renewal projects that relate to repair/replacement of finishes, casework, hardware and security devices generally associated with instructional space. It also represents a consolidation of projects that relate to repair/replacement of fixed equipment, furniture, chalk and marker boards generally associated with instructional space. Life Safety & Security is represented through a consolidation of projects that relate to fire alarms, fire suppression, emergency lighting, indoor air quality and code compliance within buildings dispersed across the campus.

STATEMENT OF BONDED INDEBTEDNESS AS OF SEPTEMBER 30, 2014

Institution: Auburn University

Component (E&G, Auxiliary, Hospital, Health, Other) E&G

Name of Respondent: Amy Douglas

Telephone Number: 334-844-2204 E-Mail Address: douglak@auburn.edu

NAME OF BOND ISSUE	PROJECT USE (Briefly describe project)	DATE OF ORIGINAL ISSUANCE	ORIGINAL VALUE	AMOUNT OUTSTANDING As of 9/30/2014	AMOUNT OF DEBT SERVICE As of 9/30/2014			SOURCE OF DEBT SERVICE PAYMENT	DATE OF MATURITY
					PRINCIPAL	INTEREST	TOTAL		
Auburn University General Fee Revenue Bonds, Series 2006-A	To finance the cost of certain capital improvements to the Auburn Campus and pay cost of issuing Series 2006-A Bonds	11/28/06	\$60,000,000.00	\$ 13,450,000.00	\$ 39,985,000.00	\$ 2,556,312.52	\$ 42,541,312.52	General Fund, Student Fees and 2014A issue	6/1/2037
Auburn University General Fee Revenue Bonds, Series 2007-A (1)	To finance the cost of certain capital improvements to the Auburn Campus and pay cost of issuing Series 2007 Bonds	11/29/07	\$162,530,000.00	\$ 129,445,000.00	\$ 29,725,000.00	\$ 7,648,171.26	\$ 37,373,171.26	General Fund, Housing Revenue and 2014A issue	6/1/2038
Auburn University General Fee Revenue Bonds, Series 2008	To finance the cost of certain capital improvements to the Auburn Campus and pay cost of issuing Series 2008 Bonds	9/10/08	\$92,500,000.00	\$ 83,345,000.00	\$ 1,965,000.00	\$ 4,000,297.50	\$ 5,965,297.50	General Fund and Athletic Revenue	6/1/2038
Auburn University General Fee Revenue Bonds, Series 2009	Advanced refunding of the University's General Fee Revenue Refunding Bonds, Series 2001, advanced refunding of the University's General Fee Revenue Bonds, Series 2001-A and pay cost of issuing Series 2009 Bonds	12/29/09	\$79,500,000.00	\$ 69,970,000.00	\$ 2,820,000.00	\$ 3,340,831.26	\$ 6,160,831.26	General Fund	6/1/2026
Auburn University General Fee Revenue Bonds, Series 2011-A	To finance the cost of certain capital improvements to the University's campuses and pay cost of issuing Series 2011-A Bonds	5/12/11	\$226,035,000.00	\$ 226,035,000.00	\$ -	\$ 11,144,600.00	\$ 11,144,600.00	General Fund, Student Fees, Housing and Dining Revenue and Athletic Revenue	6/1/2041

Auburn University General Fee Revenue Bonds, Series 2012-A	Advance refunding of the University's 2003 General Fee Revenue Bonds maturing in years 2013 through 2016, advance refunding of the University's 2004 General Fee Revenue Bonds, maturing in years 2015 through 2034, advance refunding of the University's 2004-A Athletic Revenue Bonds, maturing in years 2015 through 2034, financing certain capital improvements at the Auburn Montgomery Campus and pay cost of issuing Series 2012 Bonds	3/27/12	\$120,135,000.00	\$ 110,850,000.00	\$ 4,560,000.00	\$ 5,583,250.00	\$ 10,143,250.00	General Fund, Student Fees, Housing and Dining Revenue and Athletic Revenue	6/1/2042
Auburn University General Fee Revenue Bonds, Series 2014-A	Advance refund certain maturities of the University's General Fee Revenue Bonds, Series 2006-A, advance refund certain maturities of the University's General Fee Revenue Bonds, Series 2007-A and pay costs of issuing the Series 2014-A Bonds	7/24/14	\$66,415,000.00	\$ 66,415,000.00	\$ -	\$ -	\$ -	General Fund, Student Fees and Housing Revenue	6/1/2035
							\$ -		
Total			\$883,990,000	\$699,510,000	\$80,855,000	\$34,363,463	\$115,218,463		

STATEMENT OF BONDED INDEBTEDNESS AS OF SEPTEMBER 30, 2014

Institution: Auburn University

Component (E&G, Auxiliary, Hospital, Health, Other) Auxiliary

Name of Respondent: Amy Douglas

Telephone Number: 334-844-2204 E-Mail Address: douglak@auburn.edu

NAME OF BOND ISSUE	PROJECT USE (Briefly describe project)	DATE OF ORIGINAL ISSUANCE	ORIGINAL VALUE	AMOUNT OUTSTANDING As of 9/30/2014	AMOUNT OF DEBT SERVICE As of 9/30/2014			SOURCE OF DEBT SERVICE PAYMENT	DATE OF MATURITY
					PRINCIPAL	INTEREST	TOTAL		
Auburn University at Montgomery Dormitory Revenue Bonds of 1978	Construction of 7 new student housing buildings	12/1/1978	\$3,279,000.00	\$ 665,000.00	\$125,000.00	\$21,825.00	\$146,825.00	Auxiliary Fund	12/1/2018
Auburn University Athletic Revenue Bonds, Series 2001-A	To: 1) reimburse University for costs already incurred for improvement's to athletic facilities, 2) finance remaining cost of improvements to athletic facilities and 3) pay costs of issuing the Series 2001-A Bonds	12/31/2001	\$24,412,607.00	\$ 11,671,442.00	\$ 2,117,349.00	\$ 1,797,651.00	\$ 3,915,000.00	Athletic Fund	4/1/2021
Auburn University Athletic Revenue Bonds, Series 2004-A	To: 1) finance athletic facilities improvements, 2) pay capitalized interest through June 30, 2005 and 3) pay cost of issuing the Series 2004 Bonds	8/1/2004	\$24,860,000.00	\$ -	\$ 670,000.00	\$ 24,790.00	\$ 694,790.00	Athletic Fund and 2012A Issue	4/1/2014
Auburn University General Fee Revenue Bonds, Series 2007-B (2)	To finance the cost of certain capital improvements to the Auburn Campus and pay cost of issuing Series 2007 Bonds	11/29/2007	\$14,465,000.00	\$ -	\$ 3,175,000.00	\$ 162,718.76	\$ 3,337,718.76	Dining Revenue	6/1/2014
Auburn University General Fee Revenue Bonds, Series 2012-B	To advance refund all of the University's Athletic Fee Revenue Bonds, Series 2004-B (Taxable)	3/27/2012	\$3,505,000.00	\$ 3,385,000.00	\$ 60,000.00	\$ 99,905.00	\$ 159,905.00	Athletic Fund	6/1/2024
Total			\$70,521,607	\$15,721,442	\$6,147,349	\$2,106,890	\$8,254,239		

Please be as specific as possible regarding the sources of debt service payments.