

FACILITIES MASTER PLAN / CAPITAL PROJECT REQUEST
CAPITAL REQUIREMENTS SUMMARY AND NEEDS ASSESSMENT FORM
(Form A)

Institution: University of North Alabama

Telephone Number: 256-765-4233

Name of Respondent: Clinton P. Carter

E-Mail Address: cpcarter@una.edu

A. IMMEDIATE CAPITAL REQUIREMENTS - YEAR 1 (FY 2015-2016)

	Institutional Priority	Funding Sources			Estimated Total Cost	Specify Source(s) Other State	Specify Source(s) Other Funds	Building Number (If existing Facility or Facilities)	Space Utilization Description (If more than 1 Code used, include % for each Code. Click here to view Codes)	Projected Gross Square Feet. (Should be used only for Subsection 1 or 2 Projects)	Projected Net Assignable Square Feet. (Should be used only for Subsection 1 or 2 Projects)	Space Category (If more than 1 Code used, include % for each Code. Click here to view Codes)	Change in Purpose (Yes or No Depending on Project)	Projected Start/ Acquisition Date (Date reported cannot be before the start of the fiscal year to be reported on the form. Fiscal Years run from Oct. 1 - Sept. 30)	Projected Completion Date	Basis of Requirement (Use no more than 2 Codes. Click here to view Codes) USE LETTER OF CODE ONLY
		Education Trust Fund	Other State Funding	Other Funds												
1. New Construction/Acquisition Projects																
1.	1	\$15,000,000			\$15,000,000			N/A	1 40%, 2T 60%	50,000	35,000	E&G- Health	No	10/1/2015	9/30/2016	B
2.																
3.																
4.																
Subtotal		\$15,000,000			\$15,000,000											
2. Renovation/Remodeling Projects																
1.	2	\$2,200,000			\$2,200,000			39	1 - 50%, 2T - 35%, 2R -	64,751	58,178	E&G	Yes	10/1/2015	9/30/2016	C,E
2.	3	\$540,000			\$540,000			1	1	576	576	E&G	No	10/1/2015	9/30/2016	J
3.																
4.																
Subtotal		\$2,740,000			\$2,740,000											
3. Major Capital Equipment Projects																
1.	4	\$1,500,000			\$1,500,000			5	1	N/A	N/A	E&G	No	10/1/2015	9/30/2016	G
2.																
3.																
4.																
Subtotal		\$1,500,000			\$1,500,000											
4. Deferred Maintenance/Facilities Renewal (See Instructions)																
1.	5	\$750,000			\$750,000			9	17	N/A	N/A	E&G	No	10/1/2015	9/30/2016	E
2.	6	\$2,000,000			\$2,000,000			009 007 03	17	N/A	N/A	E&G	No	10/1/2015	9/30/2016	E,G
								039 030 001								
								005 044 037								
								045 046 053								
3.																
4.																
Subtotal		\$2,750,000			\$2,750,000											
Total Immediate Year 1 Capital Requirements		\$21,990,000			\$21,990,000											

A brief description and justification must be attached for each project listed above. If the descriptions/justifications are listed below adjust the print range so they print out properly

Provide a succinct but thorough justification of the need for the capital project. This information may be included in a separate Word Processing document. See instructions for further information.

FACILITIES MASTER PLAN / CAPITAL PROJECT REQUEST
CAPITAL REQUIREMENTS SUMMARY AND NEEDS ASSESSMENT FORM
(Form B)

Institution: University of North Alabama
Name of Respondent: Clinton P. Carter

Telephone Number: 256-765-4233
E-Mail Address: cpcarter@una.edu

B. INTERMEDIATE CAPITAL REQUIREMENTS - YEAR 2 (FY 2016-2017)

	Institutional Priority	Funding Sources			Estimated Total Cost	Specify Source(s) Other State	Specify Source(s) Other Funds	Building Number (If existing Facility or Facilities)	Space Utilization Description (If more than 1 Code used include % for each Code. Click here to view Codes)	Projected Gross Square Feet. (Should be used only for Subsection 1 or 2 Projects)	Projected Net Assignable Square Feet. (Should be used only for Subsection 1 or 2 Projects)	Space Category (If more than 1 Code used include % for each Code. Click here to view Codes)	Change in Purpose (Yes or No Depending on Project)	Projected Start/ Acquisition Date (Date reported cannot be before the start of the fiscal year to be reported on the form. Fiscal Years run from Oct. 1 -Sept. 30)	Projected Completion Date	Basis of Requirement (Use no more than 2 Codes. Click here to view Codes) USE LETTER OF CODE ONLY
		Education Trust Fund	Other State Funding	Other Funds												
1. New Construction/Acquisition Projects																
1.																
2.																
3.																
4.																
Subtotal																
2. Renovation/Remodeling Projects																
1. Culinary Center - Phase II	3	\$2,000,000			\$2,000,000		400	1-60%.2T-%	8,000	5,600	E&G	No	10/1/2016	9/30/2017	B,C	
2. Non-Facilities Construction Master Plan	5	\$9,000,000			\$9,000,000		N/A	17(Infrastructure and Accessibility)	N/A	N/A	E&G	No	10/1/2016	9/30/2013	F	
3.																
4.																
Subtotal		\$11,000,000			\$11,000,000											
3. Major Capital Equipment Projects																
1. HVAC Replacement	2	\$2,000,000			\$2,000,000		53,56	17	N/A	N/A	E&G	No	10/1/2016	9/30/2017	E,G	
2. HVAC Replacement Student Union	1	\$1,000,000			\$1,000,000		37	8	N/A	N/A	E&G	No	10/1/2016	9/30/2017	G	
3.																
4.																
Subtotal		\$3,000,000			\$3,000,000											
4. Deferred Maintenance/Facilities Renewal (See Instructions)																
1. Deferred Maintenance	4	\$9,000,000			\$9,000,000		009 007 039 039 030 001 005 044 037 045 046 05	17	N/A	N/A	E&G	No	10/1/2016	10/1/2017	E,J	
2.																
3.																
4.																
Subtotal		\$9,000,000			\$9,000,000											
Total Intermediate Year 2 Capital Requirements		\$23,000,000			\$23,000,000											

A brief description and justification must be attached for each project listed above. If the descriptions/justifications are listed below adjust the print range so they print out properly
Provide a succinct but thorough justification of the need for the capital project. This information may be included in a separate Word Processing document. See instructions for further information.

FACILITIES MASTER PLAN / CAPITAL PROJECT REQUEST
 CAPITAL REQUIREMENTS SUMMARY
 (Form C)

Institution: University of North Alabama
 Name of Respondent: Clinton P. Carter
 Telephone Number: 256-765- E-Mail Address: cpcarter@una.edu

C. LONG TERM CAPITAL REQUIREMENTS - YEARS 3-5
 (FY 2017-2018 through FY 2019-2020)

	Estimated Total Cost
1. New Construction/Acquisition Projects	
1. Arts and Humanities Building	<u>\$10,000,000</u>
2.	<u> </u>
3.	<u> </u>
4.	<u> </u>
Subtotal	<u>\$10,000,000</u>
2. Renovation/Remodeling Projects	
1.	<u> </u>
2.	<u> </u>
3.	<u> </u>
4.	<u> </u>
Subtotal	<u> </u>
3. Major Capital Equipment Projects	
1.	<u> </u>
2.	<u> </u>
3.	<u> </u>
4.	<u> </u>
Subtotal	<u> </u>
4. Deferred Maintenance/Facilities Renewal (See Instructions)	
1.	<u> </u>
2.	<u> </u>
3.	<u> </u>
4.	<u> </u>
Subtotal	<u> </u>
Total Long Term Capital Requirements	<u>\$10,000,000</u>
Funding Source for All Long Term Projects:	
Education Trust Fund	\$ <u>\$10,000,000</u>
Other State Funding	\$ <u> </u>
Other Funds	\$ <u> </u>
Total Long Term Funding	<u>\$10,000,000</u>

D. TOTAL ALL CAPITAL PROJECTS **\$ \$54,990,000**
 (The total of Form A, B and C
 should be reported here)

STATEMENT OF BONDED INDEBTEDNESS AS OF SEPTEMBER 30, 2014

Institution: University of North Alabama

Component (E&G, Auxiliary, Hospital, Health, Other) _____

Name of Respondent: Julie Graham

Telephone Number: 256-765-4183

E-Mail Address: bdgraham@una.edu

NAME OF BOND ISSUE	PROJECT USE (Briefly describe project)	DATE OF ORIGINAL ISSUANCE	ORIGINAL VALUE	AMOUNT OUTSTANDING As of 9/30/2014	AMOUNT OF DEBT SERVICE As of 9/30/2014			SOURCE OF DEBT SERVICE PAYMENT	DATE OF MATURITY
					PRINCIPAL	INTEREST	TOTAL		
Science Bldg - 2010	Science Bldg Construction & Refinance 1999 Bond	11/30/2010	\$35,765,000	\$33,930,000	\$635,000	\$1,902,257	\$2,537,257	General Fees	2041
2012A Bond Issue	Refund 2003 Bond & partially fund construction of Academic Ctr and Student Commons Bldg	8/23/2012	\$11,530,000	\$10,775,000	\$345,000	\$393,105	\$738,105	General Fees	2035
2014 Bond Issue	Student Housing	8/5/2014	\$32,680,000	\$32,680,000		\$1,038,924	\$1,038,924	Housing Rev	2044
Total			\$79,975,000	\$77,385,000	\$980,000	\$3,334,286	\$4,314,286		

Please be as specific as possible regarding the sources of debt service payments.

FACILITIES MASTER PLAN/CAPITAL PROJECTS REQUEST
DESCRIPTIONS
(From Form 1A)

- A. Immediate Capital Requirements-Year 1 (2015-2016)
 - 1. New Construction/Acquisition Projects
 - a. Nursing Facility – The University of North Alabama is well-known for its College of Nursing, and over the last few years, it has grown to include a Master’s in Nursing, a Distance Learning program and an expansion of the traditional nursing program. There is a waiting list to enroll in these programs due to the limited space and resources. The current facilities in Stevens Hall are no longer adequate for this program as it has insufficient and outdated space for classes and labs. A new facility will allow expansion in nursing programs that will better prepare graduates for the work force.
 - 2. Renovation/Remodeling Projects
 - a. Floyd Hall Renovations – Science programs will move to the new Science and Technology building beginning in 2015, leaving Floyd Hall space available for other academic programs as well as academic support services. The age and condition of the existing facility requires that this space be renovated to repurpose this facility for other academic use.
 - b. Bibb Graves Elevator – Bibb Graves Hall, a four story facility constructed in 1929, is currently used for academic classrooms and administrative offices. There is currently not an elevator in the facility to assist those with physical disabilities to attend classes and/or attend to administrative needs. The existing chair lift as proven unreliable and non-user friendly. The addition of an elevator in this facility would greatly improve the ease of operations for this facility for the entire campus community.
 - 3. Major Capital Equipment Projects
 - a. Chiller Replacement Communications Building – The chiller at the Communications Building is 40 years old and is at the end of its useful life. In order to maintain a comfortable environment for the academic programs which utilize this facility the HVAC system must be replaced.
 - 4. Deferred Maintenance/Facilities Renewal

- a. Collier Library Roof Replacement – The roof system on the Collier Library is approximately 30 years old and is at the end of its useful life. Renovations will include removing the existing roof system and installation of a new modified bitumen roof system.
- b. The average age of the academic facilities located on the UNA campus is over 50 years. Due to lack of funding over the many years of occupancy, many of the facilities have a backlog of deferred maintenance. In 2008 the University identified over sixteen million dollars of deferred maintenance needs for these facilities. Of this original list, approximately six million dollars has been spent toward reducing the overall deferred maintenance. The majority of the funding for this was through federal grant programs along with student fees. Current needs include window repair and replacement, HVAC replacement, roof replacement, water tight sealing of the exterior surfaces, plumbing and piping replacement, and electrical service upgrades.

FACILITIES MASTER PLAN/CAPITAL PROJECTS REQUEST
DESCRIPTIONS
(From Form 1B)

- B. Intermediate Capital Requirements-Year 2 (2016-2017)
 - 1.
 2. Renovation/Remodeling Projects –
 - a. Culinary Center – Phase II - The University of North Alabama received permission by the Alabama Commission on Higher Education (ACHE) to establish a Culinary Center Program at its East Campus. The State of Alabama currently has twenty-seven culinary programs operating in public high schools. UNA’s culinary program will offer interested students an in-state four year program in a public institution. It will be composed of two classrooms, a classroom lab, a food demonstration lab and a restaurant style lab.
 - b. Non-Facility Construction – Master Facilities Plan – These projects include improving parking, providing adequate walkways, improving outdoor lighting, and moving electrical and other utility lines to safer locations.
 3. Major Capital Equipment Projects –
 - a. HVAC Replacement – Guillot University Ctr., Student Union Building – The HVAC Systems located in this facility is at the end of its useful life and is in need of being replaced to increase energy efficiency as well as reduce maintenance costs associated with outdated equipment. Additionally the existing equipment operates utilizing environmentally unfriendly refrigerants that are being phased out.
 - b. HVAC Replacement – The HVAC systems located in Stevens Hall and Flowers Hall are approximately 40 years old, are past the end of their useful life, and contribute to much higher maintenance cost. In order to meet the needs of the academic programs utilizing these facilities the upgrade and replacement of these systems must be completed.
 4. Deferred Maintenance –

- a. The average age of the academic facilities located on the UNA campus is over 50 years. Due to lack of funding over the many years of occupancy, many of the facilities have a backlog of deferred maintenance. In 2008 the University identified over sixteen million dollars of deferred maintenance needs for these facilities. Of this original list, approximately four million dollars has been spent toward reducing the overall deferred maintenance. The majority of the funding for this was through federal grant programs. Current needs include window repair and replacement, HVAC replacement, roof replacement, water tight sealing of the exterior surfaces, plumbing and piping replacement, and electrical service upgrades.

FACILITIES MASTER PLAN/CAPITAL PROJECTS REQUEST
DESCRIPTIONS
(From Form 1C)

- C. Long Term Capital Requirements – Years 3-5 (2017-2018 through 2019-2020)
 - 1. New Arts and Humanities Building – Academic facilities for arts and humanities programs at the University of North Alabama are antiquated and insufficient in size to accommodate the current and expanding number of students enrolled at the University. Clearly, a new Arts and Humanities building is desired to address this need and to provide the type of environment necessary to offer a high quality contemporary educational experience.