

FACILITIES MASTER PLAN / CAPITAL PROJECT REQUEST
CAPITAL REQUIREMENTS SUMMARY AND NEEDS ASSESSMENT FORM
(Form A)

Institution: ALABAMA STATE UNIVERSITY

Telephone Number: (334) 229-6995

Name of Respondent: Brian Thronton

E-Mail Address: bthornton@alasu.edu

A. IMMEDIATE CAPITAL REQUIREMENTS - YEAR 1 (FY 2016-2017)

	Institutional Priority	Funding Sources			Estimated Total Cost	Specify Source(s) Other State	Specify Source(s) Other Funds	Building Number (If existing Facility or Facilities)	Space Utilization Description (If more than 1 Code used include % for each Code. Click here to view Codes)	Projected Gross Square Feet. (Should be used only for Subsection 1 or 2 Projects)	Projected Net Assignable Square Feet. (Should be used only for Subsection 1 or 2 Projects)	Space Category (If more than 1 Code used include % for each Code. Click here to view Codes)	Change in Purpose (Yes or No Depending on Project)	Projected Start/ Acquisition Date (Date reported cannot be before the start of the fiscal year to be reported on the form. Fiscal Years run from Oct. 1 - Sept. 30)	Projected Completion Date	Basis of Requirement (Use no more than 2 Codes. Click here to view Codes) USE LETTER OF CODE ONLY
		Education Trust Fund	Other State Funding	Other Funds												
1. New Construction/Acquisition Projects																
1.	1			\$22,842,000	\$22,842,000	INST. BONDS	N/A	17	N/A	N/A	OTHER	NO	12/1/2016	12/1/2017	D,F	
2.	2			\$30,000,000	\$30,000,000	INST. BONDS	N/A	14	78,740	60,965	OTHER	NO	10/1/2016	9/1/2017	D,F	
3.	3			\$4,000,000	\$4,000,000	INST. BONDS	N/A	17	30,000	25,000	OTHER	NO	10/1/2016	5/1/2017	L	
4.	4			\$3,000,000	\$3,000,000	INST. BONDS	N/A	16	N/A	N/A	OTHER	NO	12/1/2016	9/1/2017	D,L	
	Subtotal			\$59,842,000	\$59,842,000											
2. Renovation/Remodeling Projects																
1.	5			\$1,500,000	\$1,500,000	INST. BONDS	N/A	17	N/A	N/A	OTHER	NO	10/1/2016	9/30/2017	F	
2.	6			\$2,000,000	\$2,000,000	INST. BONDS	NA	17	N/A	N/A	OTHER	NO	10/1/2016	9/30/2017	D,L	
3.																
4.																
	Subtotal			\$3,500,000	\$3,500,000											
3. Major Capital Equipment Projects																
1.																
2.																
3.																
4.																
	Subtotal															
4. Deferred Maintenance/Facilities Renewal (See Instructions)																
1.	7			\$1,800,000	\$1,800,000	INST. BONDS	64,66.68, 70,78,	17	N/A	N/A	E&G	NO	10/1/2016	12/1/2017	J	
2.	8		\$200,000	\$200,000	TUITION & FEES		3,5,6,61	N/A	N/A	N/A	E&G	NO	10/1/2016	12/1/2017	E	
3.																
4.																
	Subtotal		\$200,000	\$1,800,000	\$2,000,000											
Total Immediate Year 1 Capital Requirements			\$200,000	\$65,142,000	\$65,342,000											

A brief description and justification must be attached for each project listed above. If the descriptions/justifications are listed below adjust the print range so they print out properly

Provide a succinct but thorough justification of the need for the capital project. This information may be included in a separate Word Processing document. See instructions for further information.

FACILITIES MASTER PLAN / CAPITAL PROJECT REQUEST
CAPITAL REQUIREMENTS SUMMARY AND NEEDS ASSESSMENT FORM
(Form B)

Institution: ALABAMA STATE UNIVERSITY
Name of Respondent: Brian Thornton

Telephone Number: (334) 229-6995
E-Mail Address: bthornton@alasu.edu

B. INTERMEDIATE CAPITAL REQUIREMENTS - YEAR 2 (FY 2017-2018)

	Institutional Priority	Funding Sources			Estimated Total Cost	Specify Source(s) Other State	Specify Source(s) Other Funds	Building Number (If existing Facility or Facilities)	Space Utilization Description (If more than 1 Code used include % for each Code Click here to view Codes)	Projected Gross Square Feet. (Should be used only for Subsection 1 or 2 Projects)	Projected Net Assignable Square Feet. (Should be used only for Subsection 1 or 2 Projects)	Space Category (If more than 1 Code used include % for each Code. Click here to view Codes)	Change in Purpose (Yes or No Depending on Project)	Projected Start/ Acquisition Date (Date reported cannot be before the start of the fiscal year to be reported on the form. Fiscal Years run from Oct. 1 -Sept. 30)	Projected Completion Date	Basis of Requirement (Use no more than 2 Codes. Click here to view Codes) USE LETTER OF CODE ONLY
		Education Trust Fund	Other State Funding	Other Funds												
1. New Construction/Acquisition Projects																
1.	1			\$30,000,000	\$30,000,000				1	N/A	N/A	E&G	NO	10/1/2017	8/1/2018	D,E
2.	2			\$25,000,000	\$25,000,000				17	30,000	25,000	OTHER	NO	10/1/2017	9/1/2018	L
3.																
4.																
Subtotal				\$55,000,000	\$55,000,000											
2. Renovation/Remodeling Projects																
1.	3			\$3,240,000	\$3,240,003				17	N/A	N/A	OTHER	NO	10/1/2017	9/30/2018	L
UNIVERSITY CROSSROADS																
H.C. TRENHOLM HALL	4			\$30,000,000	\$30,000,000				14	57,352	42,388	AUX	NO	10/1/2017	8/25/2018	F
3.																
4.																
Subtotal				\$33,240,000	\$33,240,000											
3. Major Capital Equipment Projects																
1.																
2.																
3.																
4.																
Subtotal																
4. Deferred Maintenance/Facilities Renewal (See Instructions)																
1.	5		\$3,853,000	\$3,853,000	TUITION & FEES		N/A		17	N/A	N/A	E&G	NO	10/1/2017	12/1/2018	E
MISCELLANEOUS REPAIRS																
2.																
3.																
4.																
Subtotal			\$3,853,000	\$3,853,000												
Total Intermediate Year 2 Capital Requirements			\$3,853,000	\$88,240,000	\$92,093,000											

A brief description and justification must be attached for each project listed above. If the descriptions/justifications are listed below adjust the print range so they print out properly
Provide a succinct but thorough justification of the need for the capital project. This information may be included in a separate Word Processing document. See instructions for further information.

FACILITIES MASTER PLAN / CAPITAL PROJECT REQUEST
 CAPITAL REQUIREMENTS SUMMARY
 (Form C)

Institution: ALABAMA STATE UNIVERSITY

Name of Respondent: Brian Thornton

Telephone Number: (334) 229 E-Mail Address: bthornton@alasu.edu

C. LONG TERM CAPITAL REQUIREMENTS - YEARS 3-5
 (FY 2018-2019 through FY 2020-2021)

	Estimated Total Cost
1. New Construction/Acquisition Projects	
1. GENERAL CLASSROOM BUILDINGS	<u>\$20,000,000</u>
2. PARKING DECKS	<u>\$25,000,000</u>
3. RESIDENTAL HALLS	<u>\$20,000,000</u>
4.	
Subtotal	<u>\$65,000,000</u>
2. Renovation/Remodeling Projects	
1. RESIDENTAL HALLS (KING AND ESTELL)	<u>\$30,000,000</u>
2.	<u> </u>
3.	<u> </u>
4.	<u> </u>
Subtotal	<u>\$30,000,000</u>
3. Major Capital Equipment Projects	
1.	<u> </u>
2.	<u> </u>
3.	<u> </u>
4.	<u> </u>
Subtotal	<u> </u>
4. Deferred Maintenance/Facilities Renewal (See Instructions)	
1. ROOF REPAIRS	<u>\$500,000</u>
2. UPGRADE CAMPUS FACILITIES	<u>\$8,000,000</u>
3.	<u> </u>
4.	<u> </u>
Subtotal	<u>\$8,500,000</u>
Total Long Term Capital Requirements	<u>\$103,500,000</u>
Funding Source for All Long Term Projects:	
Education Trust Fund	\$ <u> </u>
Other State Funding	\$ <u> </u>
Other Funds	\$ <u>\$103,500,000</u>
Total Long Term Funding	<u>\$103,500,000</u>

D. **TOTAL ALL CAPITAL PROJECTS** **\$ \$260,935,000**
 (The total of Form A, B and C
 should be reported here)

STATEMENT OF BONDED INDEBTEDNESS AS OF SEPTEMBER 30, 2015

Institution: Alabama State University

Component (E&G, Auxiliary, Hospital, Health, Other) _____

Name of Respondent: Sarita J. Strother

Telephone Number: 334-229-8399 E-Mail Address: sstrother@alasu.edu

NAME OF BOND ISSUE	PROJECT USE (Briefly describe project)	DATE OF ORIGINAL ISSUANCE	ORIGINAL VALUE	AMOUNT OUTSTANDING As of 9/30/2015	AMOUNT OF DEBT SERVICE As of 9/30/2015			SOURCE OF DEBT SERVICE PAYMENT	DATE OF MATURITY
					PRINCIPAL	INTEREST	TOTAL		
1965 Revenue Bonds	Construction	5/1/1965	\$150,000		\$6,000	\$225	\$6,225	Tuition & Fee Revenue	2015
1982 Dormitory Revenue Bonds	Construction	2/1/1982	\$1,293,000	\$360,000	\$45,000	\$11,475	\$56,475	Tuition & Fee Revenue	2022
2003 Series B/General Fee Rev	Construction	3/6/2003	\$25,000,000		\$460,000	\$9,200	\$469,200	Tuition & Fee Revenue	2015
2004 Series B/General Tuition a	Construction	8/20/2004	\$24,425,000	\$21,350,000	\$400,000	\$766,042	\$1,166,042	Tuition & Fee Revenue	2033
2005 Capital Lease	Energy Management	8/1/2005	\$3,190,000	\$2,145,000	\$150,000	\$105,985	\$255,985	Tuition & Fee Revenue	2026
2006 Series/General Tuition and	Construction	8/1/2006	\$41,810,000	\$41,810,000		\$2,077,663	\$2,077,663	Tuition & Fee Revenue	2036
2008 Series/General Tuition and	Construction	5/1/2008	\$37,615,000	\$33,110,000	\$825,000	\$1,579,950	\$2,404,950	Tuition & Fee Revenue	2038
2009 Series/General Tuition and	Construction	8/1/2009	\$35,000,000	\$31,285,000	\$700,000	\$1,640,738	\$2,340,738	Tuition & Fee Revenue	2039
2010 Series/General Tuition and	Construction	12/1/2010	\$55,000,000	\$51,380,000	\$1,230,000	\$2,280,687	\$3,510,687	Tuition & Fee Revenue	2040
2012 A Series/General Tuition a	Refund 2002 A and for Constr	3/1/2012	\$31,475,000	\$26,155,000	\$1,815,000	\$942,378	\$2,757,378	Tuition & Fee Revenue	2042
2012 B Series/General Tuition a	Refund 2002 B and pay JPM Sv	3/1/2012	\$11,550,000	\$10,920,000	\$215,000	\$458,289	\$673,289	Tuition & Fee Revenue	2039
Total			\$266,508,000	\$218,515,000	\$5,846,000	\$9,872,630	\$15,718,630		

Please be as specific as possible regarding the sources of debt service payments.

Note: 2004 Bond debt service Includes Interest Payments made associated with Interest Rate SWAP.

Note: 2010 Bond debt service includes Federal Interest Subsidy.

Facilities Master Plan/Capital Projects Request

Instructions for the Completion of the
Capital Requirements Summary and Needs Assessment Forms

PRIORITY FORM - 1A	PRIORITY FORM - 1B	DESCRIPTION AND JUSTIFICATIONS:
1		<p><u>Parking Deck</u></p> <p>In the 2008 Campus Master Plan it was identified that ASU would become a pedestrian friendly campus. Therefore, parking decks are going to be built to accommodate the lack of parking spaces on the main campus.</p>
2		<p><u>New Student Residence Halls</u></p> <p>In the 2008 Campus Master Plan it was identified that ASU has planned to build new resident hall to accommodate the growing enrollment of the University.</p>
3		<p><u>Plaza</u></p> <p>In the 2008 Campus Master Plan it was identified that ASU has plans to build an on-campus plaza creating a safe social environment where students can socialize without having to leave campus.</p>
4		<p><u>Acquisition of Campus Properties</u></p> <p>Property consists of approximately 65 acres on East perimeter of the Campus. Will be used for essential expansion of operations. Physical Plant, Inventory and Property Control Department, and Purchasing operations have already been relocated to acquired acreage in the area</p>
5		<p><u>Landscape Campus</u></p> <p>The master plan builds on existing landscape elements to improve the campus environment, strengthen connections and enhance the campus identity.</p>
6		<p><u>Street Scapes</u></p> <p>The master plan identifies several key gateways that are portals to the campus which mark the transition to the campus from the adjacent areas. The gateways help to convey the University's identity, and should be designed consistently within a comprehensive landscape strategy for the campus.</p>
7		<p><u>ADA Compliance</u></p> <p>There are several areas on campus and in campus facilities which need upgrading to fully comply with ADA codes, which would allow access to programs without inconveniently relocating programs.</p>
8		<p><u>Replace / Repair Deteriorating Plumbing</u></p> <p>A number of educational and general purpose buildings throughout the campus are in excess of 30 years old, and plumbing is deteriorating to the point of causing major operational problems. This plumbing needs to be replaced in administrative and educational buildings.</p>

