

FACILITIES MASTER PLAN / CAPITAL PROJECT REQUEST  
CAPITAL REQUIREMENTS SUMMARY AND NEEDS ASSESSMENT FORM  
(Form A)

Institution: University of North Alabama  
Name of Respondent: Michael Gautney

Telephone Number: 256-765-4354  
E-Mail Address: mbgautney@una.edu

A. IMMEDIATE CAPITAL REQUIREMENTS - YEAR 1 (FY 2016-2017)

Institutional Priority	Funding Sources			Estimated Total Cost	Specify Source(s) Other State	Specify Source(s) Other Funds	Building Number (If existing Facility or Facilities)	Space Utilization Description (If more than 1 Code used, include % for each Code. Click here to view Codes)	Projected Gross Square Feet. (Should be used only for Subsection 1 or 2 Projects)	Projected Net Assignable Square Feet. (Should be used only for Subsection 1 or 2 Projects)	Space Category (If more than 1 Code used, include % for each Code. Click here to view Codes)	Change in Purpose (Yes or No Depending on Project)	Projected Start/ Acquisition Date (Date reported cannot be before the start of the fiscal year to be reported on the form. Fiscal Years run from Oct. 1 - Sept. 30)	Projected Completion Date	Basis of Requirement (Use no more than 2 Codes. Click here to view Codes) USE LETTER OF CODE ONLY	
	Education Trust Fund	Other State Funding	Other Funds													
<b>1. New Construction/Acquisition Projects</b>																
1. Nursing Facility	1	\$15,000,000		\$15,000,000			N/A	1 40%, 2T 60%	35,000	26,250	E&G Health	No	10/1/2016	12/1/2017	B	
2. Parking and Pedestrian Walkway	2	\$2,000,000		\$2,000,000			N/A	17 Adtl. Parking	N/A	N/A	E&G	No	10/1/2016	10/1/2017	F,J	
3.																
4.																
Subtotal		\$17,000,000		\$17,000,000												
<b>2. Renovation/Remodeling Projects</b>																
1.																
2.																
3.																
4.																
Subtotal																
<b>3. Major Capital Equipment Projects</b>																
1. GUC Lighting Control Replaement	3	\$150,000		\$150,000			37	8 N/A	N/A	N/A	E&G	No	10/1/2016	12/1/2016	B	
2.																
3.																
4.																
Subtotal		\$150,000		\$150,000												
<b>4. Deferred Maintenance/Facilities Renewal (See Instructions)</b>																
1. Collier Library Roof Replacement	4	\$750,000		\$750,000			9	17 N/A	N/A	N/A	E&G	No	10/1/2016	6/1/2017	E	
2. Deferred Maintenance Activities	5	\$2,000,000		\$2,000,000			009 007 009 039 030 001 005 044 037 045 046 053	17 N/A	N/A	N/A	E&G	No	10/1/2016	9/30/2017	E,G	
3.																
4.																
Subtotal		\$2,750,000		\$2,750,000												
Total Immediate Year 1 Capital Requirements		\$19,900,000		\$19,900,000												

**A brief description and justification must be attached for each project listed above. If the descriptions/justifications are listed below adjust the print range so they print out properly**  
Provide a succinct but thorough justification of the need for the capital project. This information may be included in a separate Word Processing document. See instructions for further information.

FACILITIES MASTER PLAN / CAPITAL PROJECT REQUEST  
CAPITAL REQUIREMENTS SUMMARY AND NEEDS ASSESSMENT FORM  
(Form B)

Institution: University of North Alabama

Telephone Number: 256-765-4354

Name of Respondent: Michael Gautney

E-Mail Address: mbgautney@una.edu

B. INTERMEDIATE CAPITAL REQUIREMENTS - YEAR 2 (FY 2017-2018)

Institutional Priority	Funding Sources			Estimated Total Cost	Specify Source(s) Other State	Specify Source(s) Other Funds	Building Number (If existing Facility or Facilities)	Space Utilization Description (If more than 1 Code used include % for each Code. Click here to view Codes)	Projected Gross Square Feet. (Should be used only for Subsection 1 or 2 Projects)	Projected Net Assignable Square Feet. (Should be used only for Subsection 1 or 2 Projects)	Space Category (If more than 1 Code used include % for each Code. Click here to view Codes)	Change in Purpose (Yes or No Depending on Project)	Projected Start/ Acquisition Date (Date reported cannot be before the start of the fiscal year to be reported on the form. Fiscal Years run from Oct. 1 -Sept. 30)	Projected Completion Date	Basis of Requirement (Use no more than 2 Codes. Click here to view Codes) USE LETTER OF CODE ONLY
	Education Trust Fund	Other State Funding	Other Funds												
1. New Construction/Acquisition Projects															
1.															
2.															
3.															
4.															
Subtotal															
2. Renovation/Remodeling Projects															
1. Stevens Hall Renovations	1	\$1,000,000		\$1,000,000			56	1	32,000	24,000	E&G	No	10/1/2017	9/30/2018	C
2. Non-Facilities Construction Master Plan	4	\$9,000,000		\$9,000,000			N/A	17 (Infrastructure and Accessibility)	N/A	N/A	E&G	NO	10/1/2017	9/30/2018	F
3.															
4.															
Subtotal		\$10,000,000		\$10,000,000											
3. Major Capital Equipment Projects															
1. HVAC Replacement	3	\$2,000,000		\$2,000,000			56,53	17	N/A	N/A	E&G	No	10/1/2017	9/30/2018	E,G
2. HVAC Replacement Student Union	2	\$1,000,000		\$1,000,000			37	8	N/A	N/A	E&G	No	10/1/2017	9/30/2018	G
3.															
4.															
Subtotal		\$3,000,000		\$3,000,000											
4. Deferred Maintenance/Facilities Renewal (See Instructions)															
1. Defererred Maintenance	5	\$9,000,000		\$9,000,000			009 007 009 039 030 001 005 044 037 045 046 053	17	N/A	N/A	E&G	No	10/1/2017	9/30/2018	E,J
2.															
3.															
4.															
Subtotal		\$9,000,000		\$9,000,000											
Total Intermediate Year 2 Capital Requirements		\$22,000,000		\$22,000,000											

**A brief description and justification must be attached for each project listed above. If the descriptions/justifications are listed below adjust the print range so they print out properly**  
Provide a succinct but thorough justification of the need for the capital project. This information may be included in a separate Word Processing document. See instructions for further information.



STATEMENT OF BONDED INDEBTEDNESS AS OF SEPTEMBER 30, 2015

Institution: University of North Alabama

Component (E&G, Auxiliary, Hospital, Health, Other) \_\_\_\_\_

Name of Respondent: Melissa Williams

Telephone Number: 256-765-4720

E-Mail Address: [mdwilliams@una.edu](mailto:mdwilliams@una.edu)

NAME OF BOND ISSUE	PROJECT USE (Briefly describe project)	DATE OF ORIGINAL ISSUANCE	ORIGINAL VALUE	AMOUNT OUTSTANDING As of 9/30/2015	AMOUNT OF DEBT SERVICE As of 9/30/2015			SOURCE OF DEBT SERVICE PAYMENT	DATE OF MATURITY
					PRINCIPAL	INTEREST	TOTAL		
Science Bldg - 2010	Science Bldg Construction &	11/30/2010	\$35,765,000	\$33,930,000	\$635,000	\$1,902,257	\$2,537,257	General Fees	2041
	Refinance 1999 Bond								
2012A Bond Issue	Refund 2003 Bond &	8/23/2012	\$11,530,000	\$10,775,000	\$345,000	\$393,105	\$738,105	General Fees	2035
	partially fund construction of								
	Academic Ctr and Student								
	Commons Bldg								
2014 Bond Issue	Student Housing	8/5/2014	\$32,680,000	\$32,680,000		\$1,038,924	\$1,038,924	Housing Rev	2044
Total			\$79,975,000	\$77,385,000	\$980,000	\$3,334,286	\$4,314,286		

FACILITIES MASTER PLAN/CAPITAL PROJECTS REQUEST  
DESCRIPTIONS  
(From Form 1A)

- A. Immediate Capital Requirements-Year 1 (2016-2017)
  - 1. New Construction/Acquisition Projects
    - a. Nursing Facility – The University of North Alabama is well-known for its College of Nursing, and over the last few years, it has grown to include a Master’s in Nursing, a Distance Learning program and an expansion of the traditional nursing program. There is a waiting list to enroll in these programs due to the limited space and resources. The current facilities in Stevens Hall are no longer adequate for this program as it has insufficient and outdated space for classes and labs. A new facility will allow expansion in nursing programs that will better prepare graduates for the work force.
    - b. Parking and Pedestrian Walkways – In an effort to alleviate parking issues on campus and to replace parking that has been removed as a result of the construction of two student housing facilities the university is looking to add additional parking along the periphery of campus and extend the pedestrian walkway to provide an accessible path to and from the parking area. It is anticipated that an additional 250-300 parking spaces will be created and will provide a safe environment for students that utilize the new parking lot.
  - 2. Renovation/Remodeling Projects – N/A
  - 3. Major Capital Equipment Projects
    - a. Gulliot University Center Lighting Control Replacements – Currently the Gulliot University Center has two large meeting rooms that utilize a light dimming systems that is at the end of its useful life and is no longer functional. These two are heavily used by academic departments as well as student groups and the community. The replacement of these systems is needed for these two meeting rooms to be utilized for its original intent.
  - 4. Deferred Maintenance/Facilities Renewal
    - a. Collier Library Roof Replacement – The roof system on the Collier Library is approximately 30 years old and is at the end of its useful life. Renovations will

- include removing the existing roof system and installation of a new modified bitumen roof system.
- b. The average age of the academic facilities located on the UNA campus is over 50 years. Due to lack of funding over the many years of occupancy, many of the facilities have a backlog of deferred maintenance. In 2008 the University identified over sixteen million dollars of deferred maintenance needs for these facilities. Of this original list, approximately seven million dollars has been spent toward reducing the overall deferred maintenance. The majority of the funding for this was through federal grant programs along with student fees. Current needs include window repair and replacement, HVAC replacement, roof replacement, water tight sealing of the exterior surfaces, plumbing and piping replacement, and electrical service upgrades.

FACILITIES MASTER PLAN/CAPITAL PROJECTS REQUEST  
DESCRIPTIONS  
(From Form 1B)

- B. Intermediate Capital Requirements-Year 2 (2017-2018)
  - 1. New Construction/Acquisition Projects – N/A
  - 2. Renovation/Remodeling Projects –
    - a. Stevens Hall Renovations – The University has planned the construction of a new Nursing Facility. Upon completion of this new facility the College of Nursing will vacate two floors of Stevens Hall. In order to meet the needs of the College of Education, which will remain in Stevens Hall, these two floors will require a complete renovation.
    - b. Non-Facility Construction – Master Facilities Plan – These projects include improving parking, providing adequate walkways, improving outdoor lighting, and moving electrical and other utility lines to safer locations.
  - 3. Major Capital Equipment Projects –
    - a. HVAC Replacement – The HVAC systems located in Stevens Hall and Flowers Hall are approximately 40 years old, are past the end of their useful life, and contribute to much higher maintenance cost. In order to meet the needs of the academic programs utilizing these facilities the upgrade and replacement of these systems must be completed.
    - b. HVAC Replacement – Guillot University Ctr., Student Union Building – The HVAC Systems located in this facility is at the end of its useful life and is in need of being replaced to increase energy efficiency as well as reduce maintenance costs associated with outdated equipment. Additionally the existing equipment operates utilizing environmentally unfriendly refrigerants that are being phased out.
  - 4. Deferred Maintenance –
    - a. The average age of the academic facilities located on the UNA campus is over 50 years. Due to lack of funding over the many years of occupancy, many of the facilities

have a backlog of deferred maintenance. In 2008 the University identified over sixteen million dollars of deferred maintenance needs for these facilities. Of this original list, approximately seven million dollars has been spent toward reducing the overall deferred maintenance. The majority of the funding for this was through federal grant programs. Current needs include window repair and replacement, HVAC replacement, roof replacement, water tight sealing of the exterior surfaces, plumbing and piping replacement, and electrical service upgrades.

FACILITIES MASTER PLAN/CAPITAL PROJECTS REQUEST  
DESCRIPTIONS  
(From Form 1C)

C. Long Term Capital Requirements – Years 3-5 (2017-2018 through 2019-2020)

1. New Arts and Humanities Building – Academic facilities for arts and humanities programs at the University of North Alabama are antiquated and insufficient in size to accommodate the current and expanding number of students enrolled at the University. Clearly, a new Arts and Humanities building is desired to address this need and to provide the type of environment necessary to offer a high quality contemporary educational experience.
2. Renovation / Remodeling Projects – N/A
3. Major Capital Equipment Projects – N/A
4. Deferred Maintenance/Facilities Renewal - The average age of the academic facilities located on the UNA campus is over 50 years. Due to lack of funding over the many years of occupancy, many of the facilities have a backlog of deferred maintenance. In 2008 the University identified over sixteen million dollars of deferred maintenance needs for these facilities. Of this original list, approximately seven million dollars has been spent toward reducing the overall deferred maintenance. The majority of the funding for this was through federal grant programs. The University currently allocates approximately one million dollars per year toward Deferred Maintenance and Facilities renewal through a self-imposed student fee for this purpose. Current needs include window repair and replacement, HVAC replacement, roof replacement, water tight sealing of the exterior surfaces, plumbing and piping replacement, and electrical service upgrades.